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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/783,217 Confirmation No.: 6679  
Applicant : Michi Garrison  
Filing Date : 02/20/2004  
Title : METHODS AND APPARATUS FOR LOCALIZED AND SEMI-LOCALIZED  
DRUG DELIVERY  
Group Art Unit : 3763  
Examiner : Not yet assigned  
Docket No. : 13854.4003  
Customer No. : 34313

Commissioner For Patents  
Mail Stop Missing Parts  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF MISSING PARTS

Sir:

1. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on May 14, 2004,  
enclosed are:

- ☒ Declaration
- ☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Venomatrix (together with PTO Form 1595)
- ☒ Statement Under 37 CFR § 3.73(b)
- ☒ Formal Drawings ( 25 pgs)

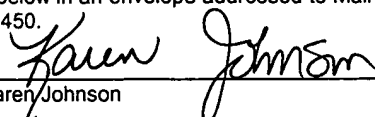
10/21/2004 AWONDAF1 00000063 150665 10783217

02 FC:2253 490.00 DA

CERTIFICATE OF MAILING  
37 CFR §1.8

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Missing Parts, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 14, 2004

  
Karen Johnson

DOCSOC1:156064.1

Applicant : Michi Garrison  
 Appl. No. : 10/783,217  
 Examiner : Not yet assigned  
 Docket No. : 13854.4003

- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$215.00	\$430.00
<input checked="" type="checkbox"/> three months	\$490.00	\$980.00
<input type="checkbox"/> four months	\$765.00	\$1,530.00
<input type="checkbox"/> five months	\$1,040.00	\$2,080.00
<b>Fee</b>		<b>\$490.00</b>

- ☒ If any extension fee is required, please consider this a petition therefor.

3. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>				<b>\$0.00</b>
Total Claims	217	- 217 = 0	x \$18.00	\$0.00
Independent Claims	24	- 24 = 0	x \$88.00	\$0.00
Multiple Dependent Claims	\$300	(if applicable)	<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)	<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$130.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. <input checked="" type="checkbox"/>				\$65.00
Extension of Time (from above)				\$490.00
Assignment -- \$40 (if applicable) <input checked="" type="checkbox"/>				\$40.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>				<b>\$595.00</b>

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Docket No. : 13854.4003

4. Method of Payment of fees:

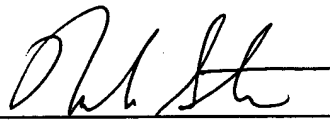
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Orrick's Deposit Account No. **15-0665** in the amount of **\$595.00**.
- ☒ The Commissioner is authorized to charge Orrick's Deposit Account No. **15-0665** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **15-0665**.

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: October 14, 2004

By: \_\_\_\_\_



Mark Stirrat  
Reg. No. 50,756

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Fax: 949-567-6710  
Customer Number: 34313



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/783,217	02/20/2004	Michi Garrison	13854.4003

CONFIRMATION NO. 6679

34313  
 ORRICK, HERRINGTON & SUTCLIFFE, LLP  
 4 PARK PLAZA  
 SUITE 1600  
 IRVINE, CA 92614-2558

## FORMALITIES LETTER



\*OC000000012667629\*



Date Mailed: 05/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1A, 1B, 2B, 11A, 11B, 11C, 15A-15C, 17.
  - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 8A, 9, 17, 18A-18C, 19A-19C, 20A-20C, 20C, 21.

**SUMMARY OF FEES DUE:**

10/21/2004 AWONDAF1 00000063 150665 10783217

01 FC:2051 65.00 DA

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE